

Small Polish structures

Krzysztof Krupiński

Instytut Matematyczny
Uniwersytetu Wrocławskiego

August 10, 2009

Polish structures

Definition

A Polish structure is a pair (X, G) where G is a Polish group acting faithfully on a set X so that $G_x <_c G$ for every $x \in X$.

Examples

- 1 Profinite structures: X - a profinite space, G - a compact group, the action is continuous,
- 2 Polish G -spaces: X - a Polish space, G - a Polish group, the action is continuous, e.g.:
 - X - a compact metric space, $G = \text{Homeo}(X)$ - the group of all homeomorphisms of X with the compact-open topology,
 - X - a compact metric group, $G = \text{Aut}(X)$ - the group of all topological automorphisms of X with the c-o topology,
- 3 Borel G -spaces: X - a Polish space, G - a Polish group, the action is Borel-measurable.

Polish structures

Definition

A Polish structure is a pair (X, G) where G is a Polish group acting faithfully on a set X so that $G_x <_c G$ for every $x \in X$.

Examples

- 1 Profinite structures: X - a profinite space, G - a compact group, the action is continuous,
- 2 Polish G -spaces: X - a Polish space, G - a Polish group, the action is continuous, e.g.:
 - X - a compact metric space, $G = \text{Homeo}(X)$ - the group of all homeomorphisms of X with the compact-open topology,
 - X - a compact metric group, $G = \text{Aut}(X)$ - the group of all topological automorphisms of X with the c-o topology,
- 3 Borel G -spaces: X - a Polish space, G - a Polish group, the action is Borel-measurable.

Smallness

Definition

A Polish structure (X, G) is small if for every $n \in \omega$, there are only countably many orbits on X^n .

Examples of small Polish structures

- ① $(S^n, \text{Homeo}(S^n))$, $n \in \omega$,
- ② $(I^n, \text{Homeo}(I^n))$, $n \in \omega \cup \{\omega\}$,
- ③ $((S^1)^n, \text{Homeo}((S^1)^n))$, $n \in \omega \cup \{\omega\}$,
- ④ $(P, \text{Homeo}(P))$, P - the pseudo-arc,
- ⑤ $(H, \text{Aut}(H))$, H - a profinite abelian group of finite exponent, $\text{Aut}(H)$ - the group of all topological automorphisms of H ,
- ⑥ $(H, \text{Aut}^0(H))$, H - as above, $\text{Aut}^0(H)$ - the group of all automorphisms of H preserving a distinguished inverse system indexed by ω .

Smallness

Definition

A Polish structure (X, G) is small if for every $n \in \omega$, there are only countably many orbits on X^n .

Examples of small Polish structures

- ① $(S^n, \text{Homeo}(S^n))$, $n \in \omega$,
- ② $(I^n, \text{Homeo}(I^n))$, $n \in \omega \cup \{\omega\}$,
- ③ $((S^1)^n, \text{Homeo}((S^1)^n))$, $n \in \omega \cup \{\omega\}$,
- ④ $(P, \text{Homeo}(P))$, P - the pseudo-arc,
- ⑤ $(H, \text{Aut}(H))$, H - a profinite abelian group of finite exponent, $\text{Aut}(H)$ - the group of all topological automorphisms of H ,
- ⑥ $(H, \text{Aut}^0(H))$, H - as above, $\text{Aut}^0(H)$ - the group of all automorphisms of H preserving a distinguished inverse system indexed by ω .

m -independence

(X, G) -a compact [profinite] structure

a -finite tuple of elements of X

A, B -finite subsets of X

$o(a/A) := \{f(a) : f \in G_A\}$

Definition

$$a \overset{m}{\perp}_A B \iff o(a/AB) \subseteq_o o(a/A),$$

$$a \overset{m}{\not\perp}_A B \iff o(a/AB) \not\subseteq_{nwd} o(a/A).$$

Fact (Newelski)

$\overset{m}{\perp}$ is invariant, symmetric and transitive. If (X, G) is small, then $\overset{m}{\perp}$ satisfies the existence of independent extensions.

m -independence

(X, G) -a compact [profinite] structure

a -finite tuple of elements of X

A, B -finite subsets of X

$o(a/A) := \{f(a) : f \in G_A\}$

Definition

$a \overset{m}{\downarrow}_A B \iff o(a/AB) \subseteq_o o(a/A),$

$a \overset{m}{\not\downarrow}_A B \iff o(a/AB) \not\subseteq_{nwd} o(a/A).$

Fact (Newelski)

$\overset{m}{\downarrow}$ is invariant, symmetric and transitive. If (X, G) is small, then $\overset{m}{\downarrow}$ satisfies the existence of independent extensions.

m -independence

(X, G) -a compact [profinite] structure

a -finite tuple of elements of X

A, B -finite subsets of X

$o(a/A) := \{f(a) : f \in G_A\}$

Definition

$$a \overset{m}{\perp}_A B \iff o(a/AB) \subseteq_o o(a/A),$$

$$a \overset{m}{\not\perp}_A B \iff o(a/AB) \not\subseteq_{nwd} o(a/A).$$

Fact (Newelski)

$\overset{m}{\perp}$ is invariant, symmetric and transitive. If (X, G) is small, then $\overset{m}{\perp}$ satisfies the existence of independent extensions.

nm -independence

(X, G) - a Polish structure

a - a finite tuple of elements of X

A, B - finite subsets of X

$\pi_A : G_A \rightarrow o(a/A)$ is defined by $\pi_A(g) = ga$.

Definition

$$a \downarrow_A^m B \iff \pi_A^{-1}[o(a/AB)] \subseteq_{nm} \pi_A^{-1}[o(a/A)],$$

$$a \downarrow_A^m B \iff \pi_A^{-1}[o(a/AB)] \subseteq_m \pi_A^{-1}[o(a/A)].$$

Theorem

\downarrow^m is invariant, symmetric and transitive. If (X, G) is small, then \downarrow^m satisfies the existence of independent extensions.

Theorem

In compact [profinite] structures, $\downarrow^m = \downarrow$.

nm -independence

(X, G) - a Polish structure

a - a finite tuple of elements of X

A, B - finite subsets of X

$\pi_A : G_A \rightarrow o(a/A)$ is defined by $\pi_A(g) = ga$.

Definition

$$a \downarrow_A^m B \iff \pi_A^{-1}[o(a/AB)] \subseteq_{nm} \pi_A^{-1}[o(a/A)],$$

$$a \downarrow_A^m B \iff \pi_A^{-1}[o(a/AB)] \subseteq_m \pi_A^{-1}[o(a/A)].$$

Theorem

\downarrow^m is invariant, symmetric and transitive. If (X, G) is small, then \downarrow^m satisfies the existence of independent extensions.

Theorem

In compact [profinite] structures, $\downarrow^m = \downarrow$.

nm -independence

(X, G) - a Polish structure

a - a finite tuple of elements of X

A, B - finite subsets of X

$\pi_A : G_A \rightarrow o(a/A)$ is defined by $\pi_A(g) = ga$.

Definition

$$a \downarrow_A^m B \iff \pi_A^{-1}[o(a/AB)] \subseteq_{nm} \pi_A^{-1}[o(a/A)],$$

$$a \downarrow_A^m B \iff \pi_A^{-1}[o(a/AB)] \subseteq_m \pi_A^{-1}[o(a/A)].$$

Theorem

\downarrow^m is invariant, symmetric and transitive. If (X, G) is small, then \downarrow^m satisfies the existence of independent extensions.

Theorem

In compact [profinite] structures, $\downarrow^m = \downarrow$.

nm -independence

(X, G) - a Polish structure

a - a finite tuple of elements of X

A, B - finite subsets of X

$\pi_A : G_A \rightarrow o(a/A)$ is defined by $\pi_A(g) = ga$.

Definition

$$a \downarrow_A^m B \iff \pi_A^{-1}[o(a/AB)] \subseteq_{nm} \pi_A^{-1}[o(a/A)],$$

$$a \downarrow_A^m B \iff \pi_A^{-1}[o(a/AB)] \subseteq_m \pi_A^{-1}[o(a/A)].$$

Theorem

\downarrow^m is invariant, symmetric and transitive. If (X, G) is small, then \downarrow^m satisfies the existence of independent extensions.

Theorem

In compact [profinite] structures, $\downarrow^m = \downarrow$.

\mathcal{NM} -rank and nm -stability

(X, G) - a small Polish structure

\mathcal{NM} : orbits over finite sets $\rightarrow \text{Ord} \cup \{\infty\}$

$\mathcal{NM}(a/A) \geq \alpha + 1$ iff there is a finite set $B \supseteq A$ such that $a \not\subset_A^m B$ and $\mathcal{NM}(a/B) \geq \alpha$.

Definition

$\mathcal{NM}(X) = \sup\{\mathcal{NM}(x) : x \in X\}$.

Definition

(X, G) is nm -stable if for every $x \in X$, $\mathcal{NM}(x) < \infty$.

\mathcal{NM} -rank and nm -stability

(X, G) - a small Polish structure

\mathcal{NM} : orbits over finite sets $\rightarrow \text{Ord} \cup \{\infty\}$

$\mathcal{NM}(a/A) \geq \alpha + 1$ iff there is a finite set $B \supseteq A$ such that $a \not\subset_A^m B$ and $\mathcal{NM}(a/B) \geq \alpha$.

Definition

$\mathcal{NM}(X) = \sup\{\mathcal{NM}(x) : x \in X\}$.

Definition

(X, G) is nm -stable if for every $x \in X$, $\mathcal{NM}(x) < \infty$.

\mathcal{NM} -rank and nm -stability

(X, G) - a small Polish structure

\mathcal{NM} : orbits over finite sets $\rightarrow \text{Ord} \cup \{\infty\}$

$\mathcal{NM}(a/A) \geq \alpha + 1$ iff there is a finite set $B \supseteq A$ such that $a \not\downarrow_A^m B$ and $\mathcal{NM}(a/B) \geq \alpha$.

Definition

$\mathcal{NM}(X) = \sup\{\mathcal{NM}(x) : x \in X\}$.

Definition

(X, G) is nm -stable if for every $x \in X$, $\mathcal{NM}(x) < \infty$.

Examples

- ① In $(S^n, \text{Homeo}(S^n))$, $\mathcal{NM}(S^n) = 1$.
- ② In $(I^\omega, \text{Homeo}(I^\omega))$, $\mathcal{NM}(I^\omega) = 1$.
- ③ $(P, \text{Homeo}(P))$ where P is the pseudo-arc is not nm -stable.
- ④ In $((\mathbb{Z}_{p^n})^\omega, \text{Aut}((\mathbb{Z}_{p^n})^\omega))$, $\mathcal{NM}((\mathbb{Z}_{p^n})^\omega) = n$.

Remark

Everything that was said about \Downarrow^m works in a suitably defined imaginary extension X^{eq} of X (e.g. if G acts continuously on a space X and E is an invariant, closed equivalence relation on X^n , then $X^n/E \subseteq X^{eq}$).

Examples

- ① In $(S^n, \text{Homeo}(S^n))$, $\mathcal{NM}(S^n) = 1$.
- ② In $(I^\omega, \text{Homeo}(I^\omega))$, $\mathcal{NM}(I^\omega) = 1$.
- ③ $(P, \text{Homeo}(P))$ where P is the pseudo-arc is not nm -stable.
- ④ In $((\mathbb{Z}_{p^n})^\omega, \text{Aut}((\mathbb{Z}_{p^n})^\omega))$, $\mathcal{NM}((\mathbb{Z}_{p^n})^\omega) = n$.

Remark

Everything that was said about \Downarrow^m works in a suitably defined imaginary extension X^{eq} of X (e.g. if G acts continuously on a space X and E is an invariant, closed equivalence relation on X^n , then $X^n/E \subseteq X^{eq}$).

Compact G -groups

Definition

A Polish [compact] G -group is a Polish structure (H, G) where G acts continuously and by automorphisms on a Polish [compact] group H .

Main examples of compact G -groups

$(H, \text{Aut}(H))$ where H is a compact metric group.

Definition

A profinite group regarded as profinite structure is a profinite structure (H, G) where H is a profinite group and G acts on it by automorphisms. In particular, G is also a profinite group.

Compact G -groups

Definition

A Polish [compact] G -group is a Polish structure (H, G) where G acts continuously and by automorphisms on a Polish [compact] group H .

Main examples of compact G -groups

$(H, \text{Aut}(H))$ where H is a compact metric group.

Definition

A profinite group regarded as profinite structure is a profinite structure (H, G) where H is a profinite group and G acts on it by automorphisms. In particular, G is also a profinite group.

Compact G -groups

Definition

A Polish [compact] G -group is a Polish structure (H, G) where G acts continuously and by automorphisms on a Polish [compact] group H .

Main examples of compact G -groups

$(H, \text{Aut}(H))$ where H is a compact metric group.

Definition

A profinite group regarded as profinite structure is a profinite structure (H, G) where H is a profinite group and G acts on it by automorphisms. In particular, G is also a profinite group.

Basic remarks

Remark

Each profinite group (H, G) is a compact G -group.

But

Remark

If H is a profinite group, then $Aut(H)$ is not necessarily compact, so $(H, Aut(H))$ needn't be a profinite structure.

Basic remarks

Remark

- 1 If (H, G) is a small Polish G -group, and $S \subseteq H$ is finite, then $\overline{\langle S \rangle}$ is countable, i.e. $\langle S \rangle$ does not have limit points.
- 2 If (H, G) is a small compact G -group, then H is locally finite.

Example

Consider the additive structure on \mathbb{Q} . Take the discrete topology on \mathbb{Q} and the product topology on \mathbb{Q}^ω . For a suitably chosen Polish group G , (\mathbb{Q}^ω, G) is a small Polish G -group of \mathcal{NM} -rank 1, which is torsion-free and 0-dimensional.

Problem

Find non 0-dimensional small Polish G -groups.

Basic remarks

Remark

- 1 If (H, G) is a small Polish G -group, and $S \subseteq H$ is finite, then $\overline{\langle S \rangle}$ is countable, i.e. $\langle S \rangle$ does not have limit points.
- 2 If (H, G) is a small compact G -group, then H is locally finite.

Example

Consider the additive structure on \mathbb{Q} . Take the discrete topology on \mathbb{Q} and the product topology on \mathbb{Q}^ω . For a suitably chosen Polish group G , (\mathbb{Q}^ω, G) is a small Polish G -group of \mathcal{NM} -rank 1, which is torsion-free and 0-dimensional.

Problem

Find non 0-dimensional small Polish G -groups.

Basic remarks

Remark

- 1 If (H, G) is a small Polish G -group, and $S \subseteq H$ is finite, then $\overline{\langle S \rangle}$ is countable, i.e. $\langle S \rangle$ does not have limit points.
- 2 If (H, G) is a small compact G -group, then H is locally finite.

Example

Consider the additive structure on \mathbb{Q} . Take the discrete topology on \mathbb{Q} and the product topology on \mathbb{Q}^ω . For a suitably chosen Polish group G , (\mathbb{Q}^ω, G) is a small Polish G -group of \mathcal{NM} -rank 1, which is torsion-free and 0-dimensional.

Problem

Find non 0-dimensional small Polish G -groups.

Conjectures on small profinite groups

Proposition

If (H, G) is a small compact G -group, then H is a profinite group. But G is not necessarily compact, so (H, G) needn't be a small profinite group.

(H, G) - a small profinite group

Newelski's Conjecture

H is abelian-by-finite.

Intermediate Conjectures

- (A) H is solvable-by-finite.
- (B) If H is solvable-by-finite, then it is nilpotent-by-finite.
- (C) If H is nilpotent-by-finite, then it is abelian-by-finite.

Conjectures on small profinite groups

Proposition

If (H, G) is a small compact G -group, then H is a profinite group. But G is not necessarily compact, so (H, G) needn't be a small profinite group.

(H, G) - a small profinite group

Newelski's Conjecture

H is abelian-by-finite.

Intermediate Conjectures

- (A) H is solvable-by-finite.
- (B) If H is solvable-by-finite, then it is nilpotent-by-finite.
- (C) If H is nilpotent-by-finite, then it is abelian-by-finite.

Conjectures on small profinite groups

Proposition

If (H, G) is a small compact G -group, then H is a profinite group. But G is not necessarily compact, so (H, G) needn't be a small profinite group.

(H, G) - a small profinite group

Newelski's Conjecture

H is abelian-by-finite.

Intermediate Conjectures

- (A) H is solvable-by-finite.
- (B) If H is solvable-by-finite, then it is nilpotent-by-finite.
- (C) If H is nilpotent-by-finite, then it is abelian-by-finite.

Results on small profinite groups

Theorem (Wagner)

Each small, nm -stable profinite group is abelian-by-finite.

Theorem (Wagner)

Each small, nm -stable profinite group is of finite \mathcal{NM} -rank.

Results on small profinite groups

Theorem (Wagner)

Each small, nm -stable profinite group is abelian-by-finite.

Theorem (Wagner)

Each small, nm -stable profinite group is of finite \mathcal{NM} -rank.

The conjectures for small compact G -groups

From now on, we consider generalizations of Conjectures (A), (B), (C) to the wider context of small compact G -groups. It turns out that in general they are all false.

Counter-example to (A)

H - any finite non-solvable group

S_∞ - the group of all permutations of \mathbb{N}

S_∞ acts on H^ω by $\sigma \langle h_0, h_1, \dots \rangle = \langle h_{\sigma(0)}, h_{\sigma(1)}, \dots \rangle$.

Then (H^ω, S_∞) is a small compact S_∞ -group, and H^ω is not solvable-by-finite.

The conjectures for small compact G -groups

From now on, we consider generalizations of Conjectures (A), (B), (C) to the wider context of small compact G -groups. It turns out that in general they are all false.

Counter-example to (A)

H - any finite non-solvable group

S_∞ - the group of all permutations of \mathbb{N}

S_∞ acts on H^ω by $\sigma \langle h_0, h_1, \dots \rangle = \langle h_{\sigma(0)}, h_{\sigma(1)}, \dots \rangle$.

Then (H^ω, S_∞) is a small compact S_∞ -group, and H^ω is not solvable-by-finite.

Results on small, nm-stable compact G -groups

(H, G) - a small compact G -group

Theorem

If (H, G) is nm-stable, then H is nilpotent-by-finite.

Theorem (K., Wagner)

If $\mathcal{NM}(H) \leq \omega$, then H is abelian-by-finite.

Results on small, nm-stable compact G -groups

(H, G) - a small compact G -group

Theorem

If (H, G) is nm-stable, then H is nilpotent-by-finite.

Theorem (K., Wagner)

If $\mathcal{NM}(H) \leq \omega$, then H is abelian-by-finite.

Infinite ordinal \mathcal{NM} -ranks are possible

Example

- Choose $\omega = I_0 \supseteq I_1 \supseteq \dots$ so that $I_i \setminus I_{i+1}$ are all infinite and $\bigcap I_i = \emptyset$,
- $H_i := \{\eta \in \mathbb{Z}_p^\omega : \eta(j) = 0 \text{ for all } j \in I_i\}$, $i \in \omega$,
- $G := \{g \in \text{Aut}(\mathbb{Z}_p^\omega) : g[H_i] = H_i \text{ for every } i \in \omega\}$.

Then:

- 1 (\mathbb{Z}_p^ω, G) is a small compact G -group,
- 2 $\mathcal{NM}(\mathbb{Z}_p^\omega) = \omega$,
- 3 $H_0 <_{nwd} H_1 <_{nwd} \dots$ is an infinite increasing sequence of \emptyset -closed subgroups.

Infinite ordinal \mathcal{NM} -ranks are possible

Example

- Choose $\omega = I_0 \supseteq I_1 \supseteq \dots$ so that $I_i \setminus I_{i+1}$ are all infinite and $\bigcap I_i = \emptyset$,
- $H_i := \{\eta \in \mathbb{Z}_p^\omega : \eta(j) = 0 \text{ for all } j \in I_i\}$, $i \in \omega$,
- $G := \{g \in \text{Aut}(\mathbb{Z}_p^\omega) : g[H_i] = H_i \text{ for every } i \in \omega\}$.

Then:

- 1 (\mathbb{Z}_p^ω, G) is a small compact G -group,
- 2 $\mathcal{NM}(\mathbb{Z}_p^\omega) = \omega$,
- 3 $H_0 <_{nwd} H_1 <_{nwd} \dots$ is an infinite increasing sequence of \emptyset -closed subgroups.

Open questions on small Polish G -groups

Question

Is every small, nm -stable Polish G -group abelian-by-countable?

Even the following question is open.

Question

Is every small Polish G -group of \mathcal{NM} -rank 1 abelian-by-countable?

Future investigations of Polish structures

- Prove counterparts of some deep model theoretic results.
- Find further examples, especially of small Polish G -groups; understand which compact metric spaces with the full group of homeomorphisms are small.
- Investigate the structure of groups and rings.
- Try to apply the introduced model theoretic tools to purely descriptive set theoretic problems.
- Try to find a notion of interpretability in first order structures.